



Code of Ethics

for **MountHurst™** Wealth Management Ltd



Financial Adviser Code of Ethics

MountHurst™ Wealth is committed towards ensuring that, in our capacity as an investment adviser, we:

- Act in the best interests of our clients and not allow personal interest or those of the organisation to take precedence over the interest of our clients;
- Act with due skill, care and diligence in conducting our business and all transactions and trading activities;
- Preserve clients confidentiality at all times;
- Respect the intellectual property rights of other;
- Comply with applicable government laws, rules and regulations of federal, state and local governments and other appropriate regulatory agencies;
- Prevent and/or fully disclose any perceived or real conflict of interest;
- Protect and promote the integrity of the market; and
- Preserve honest, integrity and trust in all communications with clients, employees and stakeholders

Compliance with this **Code of Ethics** is a term and condition of employment at **MountHurst™** Wealth.

The firm will take all necessary actions to enforce this **Code** on its Advisers, up to and including immediate dismissal. Violations of this **Code of Ethics** may also constitute violations of law, which may expose both Adviser and the firm to criminal or civil penalties.

Objectives of the Code of Ethics

- To encourage and foster an organisational and work environment that prompts the internal reporting through a defined escalation path regarding violations of our **Code of Ethics** as related to securities transactions, personal trading, activities of employees and supervisory personnel, employee behaviour and the **MountHurst™** Wealth **Code of Conduct**.
- To promote compliance with applicable securities laws, rules and regulations through leveraging an ethically-based approach;
- To promote honest and ethical conduct by all employees, financial advisers, and executives, including the ethical management of actual or apparent conflicts of interest between external, personal and professional relationships;
- To promote full, fair, accurate and understandable disclosure in reports, documents and clients communications that we create, submit, and disseminate; and
- To establish accountability on the part of employees, financial advisers, and executives regarding adherence to our **Code of Ethics**.

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